

ANNEXURE 1
FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
as on financial year ended on March 31, 2020
Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014

I	REGISTRATION & OTHER DETAILS:	
I	CIN	L41000MH2011PLC217837
ii	Registration Date	24-May-2011
iii	Name of the Company	FILTRA CONSULTANTS AND ENGINEERS LIMITED
Iv	Category/Sub-category of the Company	Company Limited by shares / Indian Non Government Company
V	Address of the Registered office & contact details	1501, Synergy Business Park, Sahakar Wadi, Next to Synthofine Indus. Estate, Off Aarey Rd, Goregaon (E), Mumbai - 400063 Tel No.: 022-6189 8700, E-mail - filtraw@gmail.com
vi	Whether listed Company	Yes
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Private Limited, 1 st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400059, Tel: 022 - 62638200, E-mail: investor@bigshareonline.com

II	PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (Financial Year 2019-20)		
	All the business activities contributing 10% or more of the total turnover of the Company shall be stated		
SL No	Name & Description of main products/ services	NIC Code of the Product /service	% to total turnover of the Company
1	Water Filter Items/components	46599	99.62

III	PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES				
SL No	Name & Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares held	Applicable Section
1	Innovative Technocare Private Limited Add: 1503, Synergy Business Park, Sahakar Wadi, Behind Virwani Industrial Estate, Goregaon (E) Mumbai - 400063	U51909MH2018PTC316812	Subsidiary	51%	2(87)(ii)
2	Of Water Private Limited Add: 16, Floor-6, Plot-27, Balaji Sadan, K. A. Subramanyam Marg, Brahmanwada, King Circle ,Matunga, Mumbai - 400019	U51909MH2018PTC317142	Subsidiary	51%	2(87)(ii)

IV SHAREHOLDING PATTERN (Equity Share capital Break up as % of total Equity) (FY 2019-20)

[illegible]

b) Individuals									
i) Individual shareholder holding nominal share capital upto Rs.1 lakh	774048	0	774048	9.41	594048	0	594048	7.22	(2.19)
ii) Individual shareholder holding nominal share capital in excess of Rs. 1 lakh	1062000	0	1062000	12.91	1143000	0	1143000	13.90	0.99
c) Others (specify)									
Clearing Member	103042	0	103042	1.25	243042	0	243042	2.96	1.70
Non-resident Indians	27000	0	27000	0.33	27000	0	27000	0.33	0.00
HUF	153000	0	153000	1.86	126000	0	126000	1.53	(0.33)
SUB TOTAL (B)(2):	2214090	0	2214090	26.93	2205090	0	2205090	26.82	(0.11)
Total Public Shareholding (B)= (B)(1)+(B)(2)	2214090	0	2214090	26.93	2205090	0	2205090	26.82	(0.11)
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	8223000	0	8223000	100.00	8223000	0	8223000	100.00	0.00

(ii) SHARE HOLDING OF PROMOTERS (Financial Year 2019-20)								
Sl No	Shareholders Name	Shareholding at the beginning of the year (01.04.2019)			Shareholding at the end of the year (31.03.2020)			% change in share holding during the year
		No. of shares	% of total shares of the Company	% of shares pledged/ encumbered to total shares	No. of shares	% of total shares of the Company	% of shares pledged/ encumbered to total shares	
1	Mr. Ketan Khant	4500000	54.72	0	4500000	54.72	0	0.00
2	Mrs. Anjali Khant	1499898	18.24	0	1499898	18.24	0	0.00
3	Mr. Ashfak Mulla	9012	0.11	0	18012	0.22	0	0.11
	Total	6008910	73.07	0	6017910	73.18	0	0.11

(iii)	Change in Promoters' shareholding (Specify if there is no change) (Financial Year 2019-20)							
Sl. No .	Name of Shareholder	Shareholding at the beginning of the Year (01.04.2019)		Date	Increase/ Decrease in Share- holding	Reason	Cumulative Share holding during the year (01.04.19 to 31.03.20)	
		No. of Shares	% of total shares of the Company				No. of shares	% of total shares of the Company
a) Mr. Ketan Khant								
At the beginning of the year		4500000	54.72	01.04.2019				
Change during the year			No Change during the year					
At the end of the year				31.03.2020			4500000	54.72

b) Mrs. Anjali Khant

At the beginning of the year	1249915	18.24	01.04.2019				
Change during the year		No Change during the year					
At the end of the year			31.03.2020			1499898	18.24

c) Mr. Ashfak Mulla

At the beginning of the year	9012	0.11	01.04.2019				
Change during the year			21.06.2019	9000	Buy	18012	0.22
At the end of the year			31.03.2020			18012	0.22

(iv) Shareholding Pattern of top 10 Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs) (Financial Year 2019-20) (Who were Top 10 shareholders as on 31.03.2020 is considered)

Sl. No	Name of Shareholder	Shareholding at the beginning of the Year (01.04.2019)		Date	Increase/ Decrease in Shareholding	Reason	Cumulative Share holding during the year (01.04.19 to 31.03.20)	
	For Each of the Top 10 Shareholders	No. of Shares	% of total shares of the Company				No. of Shares	% of total shares of the Company

1 Mr. Anantakrishnan Narayanan

At the beginning of the year	2340000	2.85	01.04.2019				
Change during the year		No Change during the year					
At the end of the year			31.03.2020			234000	2.85

2 M/s. Pantomath Stock Brokers Private Limited

At the beginning of the year	58000	0.71	01.04.2019				
Change during the year			05.04.2019	50000	Buy	108000	1.31
			26.04.2019	27000	Buy	135000	1.64
			02.08.2019	27000	Buy	162000	1.97
			30.09.2019	9000	Buy	171000	2.08
			03.01.2020	18000	Buy	189000	2.30
			10.01.2020	-27000	Sell	162000	1.97
At the end of the year			31.03.2020			162000	1.97

3 Ms. Vaishali Juthani

At the beginning of the year	144000	1.75	01.04.2019				
Change during the year		No Change during the year					
At the end of the year			31.03.2020			144000	1.75

4 M/s. Rikhav Securities Limited

At the beginning of the year	0	0.00	01.04.2019				
Change during the year*			14.06.2019	9000	Buy	9000	0.11
			28.06.2019	9000	Sell	0	0.00
			02.08.2019	18000	Buy	18000	0.22

			09.08.2019	18000	Sell	0	0.00
			03.01.2020	45000	Buy	45000	0.55
			10.01.2020	36000	Buy	81000	0.99
At the end of the year			31.03.2020			81000	0.99
*It was not a Top 10 shareholder but subsequently it became a Top 10 shareholder.							

5 Mr. Sumit Bajoria

At the beginning of the year	90000	1.09	01.04.2019				
Change during the year*			07.06.2019	-90000	Sell	0	0
			22.08.2019	54000	Buy	54000	0.66
At the end of the year			31.03.2020			54000	0.66
*Cease to be top 10 shareholder w.e.f. 07.06.2019 and again become top 10 shareholders w.e.f. 22.08.2019.							

6 Ms. Radhika Rastogi

At the beginning of the year	0	0.00	01.04.2019				
Change during the year*			10.01.2020	45000	Buy	45000	0.55
			17.01.2020	-9000	Sell	36000	0.44
			24.01.2020	9000	Buy	45000	0.55
At the end of the year			31.03.2020			45000	0.55
*She was not a top 10 shareholder as on 01.04.2019, but as on 31.03.2020 she became top 10 shareholder.							

7 Mr. Vishesh Bajpai

At the beginning of the year	9000	0.11	01.04.2019				
Change during the year*			10.01.2020	9000	Buy	18000	0.22
			28.02.2020	9000	Buy	27000	0.33
			13.03.2020	18000	Buy	45000	0.55
At the end of the year			31.03.2020			45000	0.55
*He was not a top 10 shareholder as on 01.04.2019, but as on 31.03.2020 he was a top 10 shareholder.							

8 Mr. Vijay Shobhalal Shah

At the beginning of the year	45000	0.55	01.04.2019				
Change during the year		No Change during the year					
At the end of the year			31.03.2020			45000	0.55

9 Manoj Mittal (HUF)

At the beginning of the year	36000	0.44	01.04.2019				
Change during the year		No Change during the year					
At the end of year			31.03.2020			36000	0.44

10 Mr. Deepak Kanwarlal Jain

At the beginning of the year	36000	0.44	01.04.2019				
Change during the year		No Change during the year					
At the end of the year			31.03.2020			36000	0.44

(v) Shareholding of Directors & Key Managerial Personnel (Financial Year 2019-20)								
Sl. No	Name of Shareholder	Shareholding at the beginning of the year (01.04.2019)		Date	Increase/ Decrease in Sharehold ing	Reason	Cumulative Share holding during the year (01.04.2019 to 31.03.2020)	
	For Each of the Directors & KMP	No. of Shares	% of total shares of the Company				No. of Shares	% of total shares of the Company
a) Mr. Ketan Khant (Managing Director) (DIN: 03506163)								
At the beginning of the year		4500000	54.72	01.04.2019				
Change during the year			No Change during the year					
At the end of the year				31.03.2020			4500000	54.72
b) Mrs. Anjali Khant (Whole-time Director) (DIN: 03506175)								
At the beginning of the year		1499898	18.24	01.04.2019				
Change during the year			No Change during the year					
At the end of the year				31.03.2020			1499898	18.24
c) Mr. Ashfak Mulla (Whole-time Director) (DIN: 03506172)								
At the beginning of the year		9012	0.11	01.04.2019				
Change during the year				21.06.2019	9000	Buy	18012	0.22
At the end of the year				31.03.2020	18012		18012	0.22
No other Director or Key Managerial Personnel holding shares in the Company.								

(Amount in Rs.)

V. INDEBTEDNESS (Financial Year 2019-20)				
Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	0.00	0.00	0.00	0.00
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	0.00	0.00	0.00	0.00
Change in Indebtedness during the financial year				
Additions	1,84,18,900.50	0.00	0.00	1,84,18,900.0
Reduction	1,07,66,436.00	0.00	0.00	1,07,66,436.0
Net Change	76,52,464.50	0.00	0.00	76,52,464.50
Indebtedness at the end of the financial year				
i) Principal Amount	76,52,464.50	0.00	0.00	76,52,464.50
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	76,52,464.50	0.00	0.00	76,52,464.50

VI	REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL				
A.	Remuneration to Managing Director, Whole time Director and/or Manager: (FY 2019-20)				
(Amount in Rs.)					
Sl. No	Particulars of Remuneration	Name of the MD/WTD/Manager			
1	Gross salary	Mr. Ketan Khant, MD	Mrs. Anjali Khant, WTD	Mr.Ashfak Mulla, WTD	Total Amount
	(a) Salary as per provisions contained in section 17(1) of the Income Tax. 1961.	49,14,000	37,80,000	33,01,000	1,19,95,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	0	0	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0	0	0
2	Stock option	0	0	0	0
3	Sweat Equity	0	0	0	0
4	Commission	0	0	0	0
	- as % of profit	0	0	0	0
	- others, specify	0	0	0	0
5	Others, please specify	0	0	0	0
	Total (A)	49,14,000	37,80,000	33,01,000	1,19,95,000
	Ceiling as per the Act	21,32,450			

B.	Remuneration to other directors: (Financial Year 2019-20)				
(Amount in Rs.)					
Sl. No	Particulars of Remuneration	Name of the Directors			Total Amount
1	Independent Directors	Mr. Abhay Nalawade	Mr. Haresh Malusare	Mr. Yogesh Tavkar	
	(a) Fee for attending board/ committee meetings	60,000	80,000	80,000	2,20,000
	(b) Commission	0	0	0	0
	(c) Others, please specify	0	0	0	0
	Total (1)	60,000	80,000	80,000	2,20,000
2	Other Non Executive Directors	No other Non Executive Directors on the Board			
	(a) Fee for attending board committee meetings	0	0	0	0
	(b) Commission	0	0	0	0
	(c) Others, please specify.	0	0	0	0
	Total (2)	0	0	0	0
	Total (B)=(1+2)	60,000	80,000	60,000	2,20,000
	Total Managerial Remuneration	1,22,15,000			
	Overall Ceiling as per the Act	23,45,695			

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD (Financial Year 2019-20)				
(Amount in Rs.)				
Sl. No.	Particulars of Remuneration	Key Managerial Personnel		Total Amount
1	Gross Salary	Mr. Parag Bodha (CS)*	Ms. Vaishali Pai (CFO)	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	3,23,903	7,51,434	10,75,337
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	0	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0	0
2	Stock Option	0	0	0
3	Sweat Equity	0	0	0
4	Commission	0	0	
	- as % of profit	0	0	0
	- others, specify	0	0	0
5	Others, please specify	0	0	0
	Total	3,23,903	7,51,434	10,75,337

*Mr. Parag Bodha resigned as Company Secretary of the Company w.e.f. January 04, 2020.

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES (Financial Year 2019-20)					
Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal made if any (give details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-