

ANNEXURE 1**FORM NO. MGT 9****EXTRACT OF ANNUAL RETURN****as on financial year ended on March 31, 2019****Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Company (Management & Administration) Rules, 2014**

I	REGISTRATION & OTHER DETAILS:		
I	CIN	L41000MH2011PLC217837	
li	Registration Date	24-May-2011	
iii	Name of the Company	FILTRA CONSULTANTS AND ENGINEERS LIMITED	
iv	Category/Sub-category of the Company	Company Limited by shares / Indian Non Government Company	
V	Address of the Registered office & contact details	1501, Synergy Business Park, Sahakar Wadi, Next to Synthofine Indus. Estate, Off Aarey Rd, Goregaon (E), Mumbai - 400063 Tel No.: 022-6189 8700, E-mail - filtraw@gmail.com	
vi	Whether listed Company	Yes	
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Bigshare Services Private Limited, 1 st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400059, Tel: 022 - 62638200, E-mail: investor@bigshareonline.com	
II	PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (Financial Year 2018-19)		
	All the business activities contributing 10% or more of the total turnover of the Company shall be stated		
SL No	Name & Description of main products/ services	NIC Code of the Product /service	% to total turnover of the Company
1	Water Filter Items/components	46599	100.00%

III	PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES				
SL No	Name & Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares held	Applicable Section
1	Innovative Technocare Private Limited Add: 1503, Synergy Business Park, Sahakar Wadi, Behind Virwani Industrial Estate, Goregaon (E) Mumbai - 400063	U51909MH2018PTC316812	Subsidiary	51%	2(87)(ii)
2	Of Water Private Limited Add: 16, Floor-6, Plot-27, Balaji Sadan, K. A. Subramanyam Marg, Brahmanwada, King Circle ,Matunga, Mumbai - 400019	U51909MH2018PTC317142	Subsidiary	51%	2(87)(ii)

IV SHAREHOLDING PATTERN (Equity Share capital Break up as % of total Equity) (Financial Year 2018-19)

[illegible]

b) Individuals									
i) Individual shareholder holding nominal share capital upto Rs.1 lakh	708790	0	708790	10.34	846048	0	846048	10.29	(0.05)
ii) Individual shareholder holding nominal share capital in excess of Rs. 1 lakh	967500	0	967500	14.12	1143000	0	1143000	13.90	(0.22)
c) Others (specify)									
Clearing Member	30035	0	30035	0.44	103042	0	103042	1.25	0.81
Non-resident Indians (NRI)	11250	0	11250	0.16	27000	0	27000	0.33	0.17
SUB TOTAL (B)(2):	1852575	0	1852575	27.04	2214090	0	2214090	26.93	(0.11)
Total Public Shareholding (B)= (B)(1)+(B)(2)	1852575	0	1852575	27.04	2214090	0	2214090	26.93	(0.11)
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	6852500	0	6852500	100.00	8223000	0	8223000	100.00	0.00

(ii) SHARE HOLDING OF PROMOTERS (Financial Year 2018-19)								
Sl No.	Shareholders Name	Shareholding at the beginning of the year (01.04.2018)			Shareholding at the end of the year (31.03.2019)			% change in share holding during the year
		No. of shares	% of total shares of the Company	% of shares pledged/encumbered to total shares	No. of shares	% of total shares of the Company	% of shares pledged/encumbered to total shares	
1	Mr. Ketan Khant	3750000	54.72	0	4500000	54.72	0	0.00
2	Mrs. Anjali Khant	1249915	18.24	0	1499898	18.24	0	0.00
3	Mr. Ashfak Mulla	10	0.00	0	9012	0.11	0	0.11
	Total	4999925	72.96	0	6008910	73.07	0	0.00

(iii)	CHANGE IN PROMOTERS' SHAREHOLDING (SPECIFY IF THERE IS NO CHANGE) (Financial Year 2018-19)							
Sl. No.	Name of Shareholder	Shareholding at the beginning of the Year (01.04.2018)		Date	Increase/ Decrease in Share-holding	Reason	Cumulative Share holding during the year (01.04.18 to 31.03.19)	
		No. of Shares	% of total shares of the Company				No. of shares	% of total shares of the Company
a) Mr. Ketan Khant								
	At the beginning of the year	3750000	54.72	01.04.2018				
	Change during the year			08.10.2018	750000	Bonus Issue	4500000	54.72
	At the end of the year			31.03.2019			4500000	54.72

b) Mrs. Anjali Khant

At the beginning of the year	1249915	18.24	01.04.2018				
Change during the year			08.10.2018	249983	Bonus Issue	1499898	18.24
At the end of the year			31.03.2019			1499898	18.24

c) Mr. Ashfak Mulla

At the beginning of the year	10	0.00	01.04.2018				
Change during the year			08.10.2018	2	Bonus issue	12	0.00
			21.12.2018	9000	Buy	9012	0.11
At the end of the year			31.03.2019			9012	0.11

(iv) Shareholding Pattern of top 10 Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs) (Financial Year 2018-19) (Who were Top 10 shareholders as on 01.04.2018 or 31.03.2019 is considered)

Sl. No	Name of Shareholder	Shareholding at the beginning of the Year (01.04.2018)		Date	Increase / Decrease in Shareholding	Reason	Cumulative Share holding during the year (01.04.18 to 31.03.19)	
		No. of Shares	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Mr. Anantakrishnan Narayanan							
	At the beginning of the year	195000	2.85	01.04.2018				
	Change during the year			08.10.2018	39000	Bonus issue	234000	2.85
				12.10.2018	-39000	Sell	195000	2.37
				19.10.2018	39000	Buy	234000	2.85
	At the end of the year			31.03.2019			234000	2.85

2 M/s. Pantomath Stock Brokers Private Limited

	At the beginning of the year	22500	0.33	01.04.2018				
	Change during the year			06.04.2018	15000	Buy	37500	0.55
				13.04.2018	-15000	Sell	22500	0.33
				20.04.2018	15000	Buy	37500	0.55
				27.04.2018	7500	Buy	45000	0.66
				04.05.2018	-7500	Sell	37500	0.55
				11.05.2018	7500	Buy	45000	0.66
				18.05.2018	15000	Buy	60000	0.88
				25.05.2018	-22500	Sell	37500	0.55
				01.06.2018	22500	Buy	60000	0.88
				22.06.2018	-30000	Sell	30000	0.44
				29.06.2018	15000	Buy	45000	0.66
				13.07.2018	15000	Buy	60000	0.88
				20.07.2018	-15000	Sell	45000	0.66
				27.07.2018	15000	Buy	60000	0.88
				03.08.2018	15000	Buy	75000	1.09
				17.08.2018	-30000	Sell	45000	0.66
				24.08.2018	-7500	Sell	37500	0.55

			31.08.2018	37500	Buy	75000	1.09
			05.09.2018	-30000	Sell	45000	0.66
			20.09.2018	22500	Buy	67500	0.99
			29.09.2018	-60000	Sell	7500	0.11
			05.10.2018	60000	Buy	67500	0.99
			08.10.2018	13500	Bonus issue	81000	0.99
			12.10.2018	-13500	Sell	67500	0.82
			19.10.2018	49500	Buy	117000	1.42
			26.10.2018	-27000	Sell	90000	1.09
			02.11.2018	9000	Buy	99000	1.20
			16.11.2018	9000	Buy	108000	1.31
			23.11.2018	-9000	Sell	99000	1.20
			07.12.2018	18000	Buy	117000	1.42
			21.12.2018	-18000	Sell	99000	1.20
			31.12.2018	-99000	Sell	0	0.00
			04.01.2019	9000	Buy	9000	0.11
			11.01.2019	72000	Buy	81000	0.99
			25.01.2019	-27000	Sell	54000	0.66
			08.02.2019	9000	Buy	63000	0.77
			15.02.2019	27000	Buy	90000	1.09
			15.03.2019	9000	Buy	99000	1.20
			22.03.2019	18000	Buy	117000	1.42
			30.03.2019	-59000	Sell	58000	0.71
	At the end of the year		31.03.2019			58000	0.71

It was not a top 10 shareholder as on April 1, 2018 subsequently it becomes and ceases to be Top 10 Shareholder on various occasions. As on March 31, 2019 it was a top 10 shareholder.

3 Ms. Vaishali Juthani

	At the beginning of the year	120000	1.75	01.04.2018				
	Change during the year			08.10.2018	24000	Bonus issue	144000	1.75
				12.10.2018	-24000	Sell	120000	1.46
				19.10.2018	24000	Buy	144000	1.75
	At the end of the year			31.03.2019			144000	1.75

4 M/s. Aavkar Trade Consultants Private Limited

	At the beginning of the year	7500	0.11	01.04.2018				
	Change during the year			11.05.2018	7500	Buy	15000	0.22
				18.05.2018	15000	Buy	30000	0.44
				01.06.2018	-7500	Sell	22500	0.33
				08.10.2018	4500	Bonus issue	27000	0.33
				12.10.2018	-4500	Sell	22500	0.27
				19.10.2018	4500	Buy	27000	0.33
	At the end of the year			31.03.2019			27000	0.33

It was not a Top 10 shareholder as on April 1, 2018 but become Top 10 Shareholders on 18.05.2018.

5 Mr. Sumit Bajoria

	At the beginning of the year	75000	1.09	01.04.2018				
	Change during the			08.10.2018	15000	Bonus issue	90000	1.09

	year			12.10.2018	-15000	Sell	75000	0.91
				19.10.2018	15000	Buy	90000	1.09
	At the end of the year			31.03.2019			90000	1.09

6 M/s. Thar Commodities Private Limited

	At the beginning of the year	75000	1.09	01.04.2018				
	Change during the year			08.10.2018	15000	Bonus issue	90000	1.09
				12.10.2018	-15000	Sell	75000	0.91
				19.10.2018	-21000	Sell	54000	0.66
				26.10.2018	-36000	Sell	18000	0.22
	At the end of the year			31.03.2019			18000	0.22

Cease to be Top-10 shareholders on 26.10.2018.

7 Mr. Ankit Chhikara

	At the beginning of the year	0	0.00	01.04.2018				
	Change during the year			14.09.2018	37500	Buy	37500	0.55
				08.10.2018	7500	Bonus issue	45000	0.55
				12.10.2018	-7500	Sell	37500	0.46
				19.10.2018	7500	Buy	45000	0.55
	At the end of the year			31.03.2019			45000	0.55

He was not a Top 10 shareholder as on April 1, 2018 but become Top 10 Shareholders on 14.09.2018.

8 Mr. Vijay Sobhalal Shah

	At the beginning of the year	37500	0.55	01.04.2018				
	Change during the year			08.10.2018	7500	Bonus issue	45000	0.55
				12.10.2018	-7500	Sell	37500	0.46
				19.10.2018	7500	Buy	45000	0.55
				31.03.2019			45000	0.55

9 Ms. Nayanaben Navin Shah

	At the beginning of the year	30000	0.44	01.04.2018				
	Change during the year			24.08.2018	7500	Buy	37500	0.55
				14.09.2018	-7500	Sell	30000	0.44
				08.10.2018	6000	Bonus issue	36000	0.44
				12.10.2018	-6000	Sell	30000	0.36
				19.10.2018	6000	Buy	36000	0.44
				15.03.2019	-9000	Sell	27000	0.33
	At the end of the year			31.03.2019			27000	0.33

Cease to be Top-10 shareholders on 15.03.2019.

10 Mr. Anuj Gupta

	At the beginning of the year	30000	0.44	01.04.2018				
	Change during the year			31.08.2018	7500	Buy	37500	0.55
				08.10.2018	7500	Bonus issue	45000	0.55
				12.10.2018	- 7500	Sell	37500	0.46
				19.10.2018	7500	Buy	45000	0.55

				16.11.2018	-9000	Sell	36000	0.44
	At the end of the year			31.03.2019			36000	0.44

11 Mr. Pathik Jaysukhlal Gopani

	At the beginning of the year	30000	0.44	01.04.2018				
	Change during the year			04.05.2018	-7500	Sell	22500	0.33
				11.05.2018	-22500	Sell	0	0.00
	At the end of the year			31.03.2019			0	0.00

He was a Top 10 shareholder as on April 1, 2018 but he ceased to be a top 10 shareholder on 04.05.2018.

12 Manoj Mittal (HUF)

	At the beginning of the year	30000	0.44	01.04.2018				
	Change during the year			08.10.2018	6000	Bonus issue	36000	0.44
				12.10.2018	-6000	Sell	30000	0.36
				19.10.2018	6000	Buy	36000	0.44
	At the end of the year			31.03.2019			36000	0.44

13 Mr. Deepak Kanwarlal Jain

	At the beginning of the year	30000	0.44	01.04.2018				
	Change during the year			08.10.2018	6000	Bonus issue	36000	0.44
				12.10.2018	-6000	Sell	30000	0.36
				19.10.2018	6000	Buy	36000	0.44
	At the end of the year			31.03.2019			36000	0.44

14. Mr. Pankaj Ramgopal Mathur

	At the beginning of the year	22500	0.33	01.04.2018				
	Change during the year			08.10.2018	4500	Bonus issue	27000	0.33
				12.10.2018	-4500	Sell	22500	0.27
				19.10.2018	4500	Buy	27000	0.33
	At the end of the year			31.03.2019			27000	0.33

Cease to be Top 10 shareholders on 18.05.2018.

(v)	Shareholding of Directors & Key Managerial Personnel (Financial Year 2018-19)							
Sl. No	Name of Shareholder	Shareholding at the beginning of the Year (01.04.2018)		Date	Increase/ Decrease in Sharehold ing	Reason	Cumulative Share holding during the year (01.04.18 to 31.03.19)	
	For Each of the Directors & KMP	No. of Shares	% of total shares of the Company				No. of Shares	% of total shares of the Company
a) Mr. Ketan Khant (Managing Director) (DIN: 03506163)								
At the beginning of the year		3750000	54.72	01.04.2018				
Change during the year				08.10.2018	750000	Bonus Issue	4500000	54.72
At the end of the year				31.03.2019			4500000	54.72
b) Mrs. Anjali Khant (Whole-time Director) (DIN: 03506175)								
At the beginning of the year		1249915	18.24	01.04.2018				
Change during the year				08.10.2018	249983	Bonus Issue	1499898	18.24
At the end of the year				31.03.2019			1499898	18.24
c) Mr. Ashfak Mulla (Whole-time Director) (DIN: 03506172)								
At the beginning of the year		10	0.00	01.04.2018				
Change during the year				08.10.2018	2	Bonus issue	12	0.00
				21.12.2018	9000	Buy	9012	0.11
At the end of the year				31.03.2019			9012	0.11
No other Director of KMP held any shares in the Company during the said financial year								

(Amount in Rs.)

V. INDEBTEDNESS (Financial Year 2018-19)				
Indebtedness of the Company including interest outstanding/accrued but not due for payment				
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year	NIL			
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
Change in Indebtedness during the financial year				
Additions				
Reduction				
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL					
A. Remuneration to Managing Director, Whole time Director and/or Manager: (Financial Year 2018-19)					
(Amount in Rs.)					
Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager			
1	Gross salary	Mr. Ketan Khant, MD	Mrs. Anjali Khant, WTD	Mr. Ashfak Mulla, WTD	Total Amount
	(a) Salary as per provisions contained in section 17(1) of the Income Tax. 1961.	47,05,000	32,40,000	31,45,000	1,10,90,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	0	0	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0	0	0
2	Stock option	0	0	0	0
3	Sweat Equity	0	0	0	0
4	Commission				
	- as % of profit	0	0	0	0
	- others, specify	0	0	0	0
5	Others, please specify	0	0	0	0
	Total (A)	47,05,000	32,40,000	31,45,000	1,10,90,000
	Ceiling as per the Act	* In excess of limits specified under Section 197. As per Schedule V Part II Section II			

B. Remuneration to other directors: (Financial Year 2018-19)					
(Amount in Rs.)					
Sl. No	Particulars of Remuneration	Name of the Directors			Total Amount
1	Independent Directors	Mr. Abhay Nalawade	Mr. Haresh Malusare	Mr. Yogesh Tavkar	
	(a) Fee for attending board/ committee meetings	60,000	80,000	60,000	2,00,000
	(b) Commission	0	0	0	0
	(c) Others, please specify	0	0	0	0
	Total (1)	60,000	80,000	60,000	2,00,000
2	Other Non Executive Directors	No other Non Executive Directors on the Board			
	(a) Fee for attending board committee meetings	0	0	0	0
	(b) Commission	0	0	0	0
	(c) Others, please specify.	0	0	0	0
	Total (2)	0	0	0	0
	Total (B)=(1+2)	60,000	80,000	60,000	2,00,000
	Total Managerial Remuneration	1,12,90,000			
	Overall Ceiling as per the Act	* In excess of limits specified under Section 197. As per Schedule V Part II Section II			

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTB (Financial Year 2018-19)**(Amount in Rs.)**

Sl. No.	Particulars of Remuneration	Key Managerial Personnel				Total Amount
1	Gross Salary	Ms. Jinal Jain (CS)*	Mr. Rudolf Corriea (CFO)*	Mr. Parag Bodha (CS)#	Ms. Vaishali Pai (CFO)#	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	1,21,087	41,341	2,57,147	6,90,736	11,10,311
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	0	0	0	0	0
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	0	0	0	0	0
2	Stock Option	0	0	0	0	0
3	Sweat Equity	0	0	0	0	0
4	Commission					
	- as % of profit	0	0	0	0	0
	- others, specify	0	0	0	0	0
5	Others, please specify	0	0	0	0	0
	Total	1,21,087	41,341	2,57,147	6,90,736	11,10,311

*Ms. Jinal Jain resigned as Company Secretary of the Company w.e.f. July 13, 2018 and Mr. Rudolf Corriea resigned as Chief Financial Officer of the Company w.e.f. April 30, 2018

Mr.Parag Bodha was appointed as Company Secretary of the Company w.e.f. August 23, 2018 and Ms.Vaishali Pai was appointed as Chief Financial Officer of the Company w.e.f. May 30, 2018

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES (Financial Year 2018-19)

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal made if any (give details)
A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	NIL			-
Compounding	-	-	-	-	-